École d'été de mécanique théorique à Quiberon (9 sept. 2022)

# Homogenization-based interpolation of material properties for phase-field models

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## Sébastien Brisard

Univ Gustave Eiffel, Ecole des Ponts, CNRS, Navier, F-77454 Marne-la-Vallée, France

#### Samuel Forest, Kais Ammar

MINES ParisTech, PSL University, MAT - Centre des matériaux, CNRS, BP 87 91003 Evry, France







## **Free energy**

#### Decomposition of the free energy

$$f(\phi, \nabla \phi, c, \mathbf{\epsilon}) = f_{\phi}(\phi, \nabla \phi) + f_{c}(\phi, c) + f_{el}(\phi, \mathbf{\epsilon})$$

#### **Contribution of interface**

$$f_{\phi}(\phi, \nabla \phi) = \frac{3\gamma}{\ell} [W(\phi) + \ell^2 ||\nabla \phi||^2] \quad \text{with} \quad W(\phi) = \phi^2 (1 - \phi)^2$$

#### **Chemical & mechanical contributions**

$$\begin{cases} f_c(\phi, c) \\ f_{\varepsilon}(\phi, \mathbf{\epsilon}) \end{cases} \quad \text{such that} \quad \begin{cases} f_c(0, c) = f_c^0(c) \\ f_{\varepsilon}(0, \mathbf{\epsilon}) = f_{\varepsilon}^0(\mathbf{\epsilon}) \end{cases} \quad \text{and} \quad \begin{cases} f_c(1, c) = f_c^1(c) \\ f_{\varepsilon}(1, \mathbf{\epsilon}) = f_{\varepsilon}^1(\mathbf{\epsilon}) \end{cases}$$

## **Properties of the transition zone**

- (Fictitious) "mixture" of the two pure phases
- Properties classically interpolated between pure phases [1]
- Voigt/Reuss estimates [2–4] from theory of generalized standard materials [5]
- Laminate theory in finite elasticity [6] from variational approach
- Is it possible to introduce more general homogenization models?
- What would be the gain? → Thermodynamical consistency vs. quality of numerical solution ?
- [1] A. G. Khachaturyan, Theory of Structural Transformations in Solids, Dover ed, Dover Publications, Mineola, N.Y, 2008.
- [2] K. Ammar et al., European Journal of Computational Mechanics 2009, 18, 485–523.
- [3] K. Ammar, PhD thesis, École Nationale Supérieure des Mines de Paris, 2010.
- [4] K. Ammar et al., Philosophical Magazine Letters 2011, 91, 164–172.
- [5] B. Halphen, Q. Son Nguyen, Journal de Mécanique 1975, 14, 39–63.
- [6] J. Mosler, O. Shchyglo, H. Montazer Hojjat, Journal of the Mechanics and Physics of Solids 2014, 68, 251–266.

## **Digression: incompressible binary fluids**

#### "Density-matched" fluids

The first case considered [1, 2]. When  $\rho_0 = \rho_1$ ,  $\rho(\phi) = \rho_0 = \rho_1$  is suitable and the mixture is incompressible:  $\nabla \cdot \mathbf{u} = 0$  everywhere.

Fluids with density contrast

$$\rho(\phi) = (1 - \phi)\rho_0 + \phi\rho_1$$

 $\nabla \cdot \mathbf{u} = 0$  no longer holds! See refs [3, 4].

#### Interpolation scheme requires some thinking!

- [1] D. Jacqmin, Journal of Computational Physics 1999, 155, 96–127.
- [2] V. E. Badalassi, H. D. Ceniceros, S. Banerjee, Journal of Computational Physics 2003, 190, 371–397.
- [3] H. Ding, P. D. M. Spelt, C. Shu, Journal of Computational Physics 2007, 226, 2078–2095.
- [4] H. Abels, H. Garcke, G. Grün, Mathematical Models and Methods in Applied Sciences 2012, 22, 1150013.

## **Towards homogenization**

- Transition zone as a two-phase, heterogeneous material
- $(1 \phi)$  and  $\phi$ : "volume fractions" of phases 0 ( $\phi = 0$ ) and 1 ( $\phi = 1$ )
- Localization:  $c \mapsto c_0, c_1$  and  $\mathbf{\epsilon} \mapsto \mathbf{\epsilon}_0, \mathbf{\epsilon}_1$
- Only the "macroscopic" variables c and ε are state variables!
  (c<sub>0</sub>, c<sub>1</sub>, ε<sub>0</sub> et ε<sub>1</sub> are not state variables)

## Outline

- A (very brief) overview of random homogenization [1]
- Application to phase-field models

[1] A. Zaoui, Journal of Engineering Mechanics 2002, 128, 808–816.

A (very brief) overview of random homogenization

## **Separation of scales**



 $L_{\mu} \ll L_{\rm m} \ll L_{\rm M}$ 

#### Source: Structurae, BGEA Labo and Aménagements Déco Lafarge

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## **Top-down (experimental) characterization**



### **Macroscopic variables**

- Macro. stress: *F*/*A*
- Macro. strain:  $\delta/L$



Compression test on a concrete sample (Courtesy S. Bahafid, S. Ghabezloo)

## **Bottom-up** (numerical) prediction

- The corrector problem reproduces physical experiment in-silico!
- The virtual sample is the so-called representative volume element (RVE)
- No body forces, loading through boundary conditions

#### Field equations

#### **Boundary conditions**

Must satisfy the Hill–Mandel condition

$$\nabla_{\mathbf{x}} \cdot \boldsymbol{\sigma} = \mathbf{0}$$
$$\boldsymbol{\sigma}(\mathbf{x}) = \mathbf{C}(\mathbf{x}) : \boldsymbol{\varepsilon}(\mathbf{x})$$
$$\boldsymbol{\varepsilon} = \nabla^{\mathsf{s}} \mathbf{u}$$

$$\langle \sigma : \epsilon \rangle = \langle \sigma \rangle : \langle \epsilon \rangle$$

Example: homogeneous strain boundary conditions

$$\mathbf{u}(\mathbf{x}) = \overline{\mathbf{\epsilon}} \cdot \mathbf{x} \quad \Rightarrow \quad \langle \mathbf{\epsilon} \rangle = \overline{\mathbf{\epsilon}}$$

## **Effective properties**

#### **Formal definition**

From the linearity of the corrector problem

$$\langle \sigma \rangle = C^{\text{eff}} : \overline{\epsilon} = C^{\text{eff}} : \langle \epsilon \rangle$$

Macroscopic energy: from the Hill-Mandel condition

$$\frac{1}{2} \langle \boldsymbol{\varepsilon} : \boldsymbol{\mathsf{C}} : \boldsymbol{\varepsilon} \rangle = \frac{1}{2} \langle \boldsymbol{\sigma} : \boldsymbol{\varepsilon} \rangle = \frac{1}{2} \langle \boldsymbol{\sigma} \rangle : \langle \boldsymbol{\varepsilon} \rangle = \frac{1}{2} \big( \boldsymbol{\mathsf{C}}^{\mathsf{eff}} : \langle \boldsymbol{\varepsilon} \rangle \big) : \langle \boldsymbol{\varepsilon} \rangle$$

To sum up

$$\langle \boldsymbol{\epsilon} \rangle = \overline{\boldsymbol{\epsilon}} \qquad \langle \boldsymbol{\sigma} \rangle = \boldsymbol{\mathsf{C}}^{\text{eff}} : \langle \boldsymbol{\epsilon} \rangle \qquad \frac{1}{2} \langle \boldsymbol{\epsilon} : \boldsymbol{\mathsf{C}} : \boldsymbol{\epsilon} \rangle = \frac{1}{2} \langle \boldsymbol{\epsilon} \rangle : \boldsymbol{\mathsf{C}}^{\text{eff}} : \langle \boldsymbol{\epsilon} \rangle$$

## The strain localization operator

The corrector problem is linear

 $\boldsymbol{\epsilon}(x) = \boldsymbol{\mathsf{A}}(x): \overline{\boldsymbol{\epsilon}}$ 

Minor symmetries but not major symmetry!

Effective stiffness from macroscopic stress (symmetry?)

$$C^{\text{eff}}: \overline{\epsilon} = \langle \sigma \rangle = \langle C : A \rangle : \overline{\epsilon} \quad \Rightarrow \quad C^{\text{eff}} = \langle C : A \rangle$$

Effective stiffness from macroscopic energy (symmetry!)  $\overline{\epsilon} : C^{\text{eff}} : \overline{\epsilon} = \langle \epsilon : C : \epsilon \rangle = \overline{\epsilon} : \langle A^{\top} : C : A \rangle : \overline{\epsilon} \implies C^{\text{eff}} = \langle A^{\top} : C : A \rangle$ 

12

## The case of eigenstrained materials

#### The corrector problem

$$\begin{aligned} \mathbf{x} \in \Omega : & \begin{cases} \nabla_{\mathbf{x}} \cdot \boldsymbol{\sigma} &= \mathbf{0} \\ \boldsymbol{\sigma}(\mathbf{x}) &= \mathbf{C}(\mathbf{x}) : \begin{bmatrix} \boldsymbol{\epsilon}(\mathbf{x}) - \boldsymbol{\eta}(\mathbf{x}) \end{bmatrix} \\ \boldsymbol{\epsilon} &= \nabla^{\mathsf{s}} \mathbf{u} \\ \mathbf{x} \in \partial \Omega : & \mathbf{u}(\mathbf{x}) = \overline{\mathbf{\epsilon}} \cdot \mathbf{x} \end{aligned}$$

Effective constitutive law (Levin, 1967 [1])

$$\begin{split} \langle \sigma \rangle &= C^{\text{eff}} : \left[ \langle \epsilon \rangle - \eta^{\text{eff}} \right] \\ C^{\text{eff}} &= \langle C : A \rangle \quad \text{and} \quad C^{\text{eff}} : \eta^{\text{eff}} = \langle A^{\mathsf{T}} : C : \eta \rangle \end{split}$$

#### All you need is the localization operator!

13

N. Laws, Journal of the Mechanics and Physics of Solids 1973, 21, 9–17.

## Note on the macroscopic energy

Microscopic volume density of energy

$$\frac{1}{2}(\mathbf{\epsilon}-\mathbf{\eta}):\mathbf{C}:(\mathbf{\epsilon}-\mathbf{\eta})$$

Macroscopic volume density of energy

## On mean-field / effective-field models

#### *N*-phase materials: assumptions

Properties are constant in each phase

$$\mathbf{x} \in \Omega_{\alpha}$$
:  $\mathbf{C}(\mathbf{x}) = \mathbf{C}_{\alpha}$  and  $\mathbf{\eta}(\mathbf{x}) = \mathbf{\eta}_{\alpha}$   $(\alpha = 1, ..., N)$ 

Stresses and strains are approximated by constants in each phase

$$\mathbf{x} \in \Omega_{\alpha}$$
:  $\mathbf{\sigma}(\mathbf{x}) \simeq \mathbf{\sigma}_{\alpha}$  and  $\mathbf{\varepsilon}(\mathbf{x}) \simeq \mathbf{\varepsilon}_{\alpha}$   $(\alpha = 1, ..., N)$ 

Localization tensors

$$\mathbf{\epsilon}_{\alpha} = \mathbf{A}_{\alpha} : \overline{\mathbf{\epsilon}}$$
 with  $f_1 \mathbf{A}_1 + \dots + f_N \mathbf{A}_N = \mathbf{I}$ 

Estimates of the effective properties

$$\mathbf{C}^{\text{eff}} \simeq f_1 \mathbf{C}_1 : \mathbf{A}_1 + \dots + f_N \mathbf{C}_N : \mathbf{A}_N$$
$$\mathbf{C}^{\text{eff}} : \mathbf{\eta}^{\text{eff}} \simeq f_1 \mathbf{A}_1^{\mathsf{T}} : \mathbf{C}_1 : \mathbf{\eta}_1 + \dots + f_N \mathbf{A}_N^{\mathsf{T}} : \mathbf{C}_N : \mathbf{\eta}_N$$

## Eshelby's inhomogeneity problem [1]

#### Strain within ellipsoid is uniform

$$\boldsymbol{\epsilon}_i = \boldsymbol{A}^{\infty}(\boldsymbol{C}_i,\boldsymbol{C}_m):\boldsymbol{\epsilon}^{\infty}$$

The dilute strain localization tensor

$$\mathbf{A}^{\infty}(\mathbf{C}_{i},\mathbf{C}_{m})=\left[\mathbf{I}+\mathbf{P}(\mathbf{C}_{m}):\left(\mathbf{C}_{i}-\mathbf{C}_{m}\right)\right]^{-1}$$

Hill tensor of a sphere (isotropic mat)

$$\mathbf{P}(\mu,\nu) = \frac{1-2\nu}{6\mu(1-\nu)}\mathbf{J} + \frac{4-5\nu}{15\mu(1-\nu)}\mathbf{K}$$
$$\mathbf{J} = \frac{1}{3}\mathbf{\delta} \otimes \mathbf{\delta} \qquad \mathbf{K} = \mathbf{I} - \mathbf{J}$$



[1] J. D. Eshelby, Proceedings of the Royal Society of London. Series A Mathematical and Physical Sciences 1957, 241, 376–396.

## Mori–Tanaka estimate (explicit)

#### Each inclusion sees only the matrix

$$\begin{cases} \boldsymbol{\varepsilon}_{i} = \boldsymbol{A}^{\infty}(\boldsymbol{C}_{i}, \boldsymbol{C}_{m}) : \boldsymbol{\varepsilon}_{m} \\ \langle \boldsymbol{\varepsilon} \rangle = f_{i}\boldsymbol{\varepsilon}_{i} + f_{m}\boldsymbol{\varepsilon}_{m} \\ \langle \boldsymbol{\sigma} \rangle = f_{i}\boldsymbol{C}_{i} : \boldsymbol{\varepsilon}_{i} + f_{m}\boldsymbol{C}_{m} : \boldsymbol{\varepsilon}_{m} \end{cases}$$

$$\begin{cases} \mathbf{A}_{m}^{MT} = \left[ f_{i} \mathbf{A}^{\infty} (\mathbf{C}_{i}, \mathbf{C}_{m}) + f_{m} \mathbf{I} \right]^{-1} \\ \mathbf{A}_{i}^{MT} = \mathbf{A}^{\infty} (\mathbf{C}_{i}, \mathbf{C}_{m}) : \mathbf{A}_{m}^{MT} \\ \mathbf{C}^{MT} = f_{i} \mathbf{C}_{i} : \mathbf{A}_{i} + f_{m} \mathbf{C}_{m} : \mathbf{A}_{m} \end{cases}$$



17

## Self-consistent estimate (implicit)

#### Each phase sees the effective medium

$$\begin{cases} \mathbf{\epsilon}_{0} = \mathbf{A}^{\infty}(\mathbf{C}_{0}, \mathbf{C}^{\mathrm{sc}}) : \mathbf{\epsilon}^{\infty} \\ \mathbf{\epsilon}_{1} = \mathbf{A}^{\infty}(\mathbf{C}_{1}, \mathbf{C}^{\mathrm{sc}}) : \mathbf{\epsilon}^{\infty} \\ \langle \mathbf{\epsilon} \rangle = f_{0}\mathbf{\epsilon}_{1} + f_{1}\mathbf{\epsilon}_{\mathrm{m}} \\ \langle \boldsymbol{\sigma} \rangle = f_{0}\mathbf{C}_{0} : \mathbf{\epsilon}_{0} + f_{1}\mathbf{C}_{1} : \mathbf{\epsilon}_{1} \end{cases}$$

$$\begin{cases} \mathbf{A}_{\alpha}^{\infty} = \mathbf{A}^{\infty}(\mathbf{C}_{\alpha}, \mathbf{C}^{\mathrm{sc}}) \\ \mathbf{A}_{\infty}^{\mathrm{sc}} = \left(f_{0}\mathbf{A}_{0}^{\infty} + f_{1}\mathbf{A}_{1}^{\infty}\right)^{-1} \\ \mathbf{A}_{\alpha} = \mathbf{A}_{\alpha}^{\infty} : \mathbf{A}_{\infty}^{\mathrm{sc}} \\ \mathbf{C}^{\mathrm{sc}} = f_{0}\mathbf{C}_{0} : \mathbf{A}_{0} + f_{1}\mathbf{C}_{1} : \mathbf{A}_{1} \end{cases}$$

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## Homogenization models in one slide

#### What is required

- Microstructure fully defined by volume fraction  $f_1$  ( $f_0 + f_1 = 1$ )
- Homogenization model fully defined by localization tensor:

$$\mathbf{A}_1(f_1,\mathbf{C}_0,\mathbf{C}_1) \quad (f_0\mathbf{A}_0+f_1\mathbf{A}_1=\mathbf{I}).$$

#### **Effective stiffness**

$$\mathbf{C}^{\text{eff}} = f_0 \mathbf{C}_0 : \mathbf{A}_0 + f_1 \mathbf{C}_1 : \mathbf{A}_1$$

**Effective eigenstrain** 

$$\mathbf{C}^{\text{eff}}: \mathbf{\eta}^{\text{eff}} = f_0 \mathbf{A}_0^{\mathsf{T}}: \mathbf{C}_0: \mathbf{\eta}_0 + f_1 \mathbf{A}_1^{\mathsf{T}}: \mathbf{C}_1: \mathbf{\eta}_1$$

**Effective energy** 

$$\frac{1}{2}(\overline{\boldsymbol{\epsilon}} - \boldsymbol{\eta}^{\text{eff}}) : \boldsymbol{\mathsf{C}}^{\text{eff}} : (\overline{\boldsymbol{\epsilon}} - \boldsymbol{\eta}^{\text{eff}})$$

## **Application to phase-field models**

## **Volume fractions**

#### Most simple form

$$\phi = 0 \rightarrow \text{phase 0 only}$$
  
 $\phi = 1 \rightarrow \text{phase 1 only}$   $\Rightarrow \phi = \text{volume fraction of phase 1?}$ 

#### More flexible form

 $h(\phi) =$  volume fraction of phase 1  $\overline{h}(\phi) = 1 - h(\phi) =$  volume fraction of phase 0

with the conditions

$$h(0) = 0$$
  $h(1) = 1$   $h'(0) = 0$   $h'(1) = 1$ 

## Free energy (mechanical contribution)

#### The homogenization model

 $\mathbf{A}_1(f_1, \mathbf{C}_0, \mathbf{C}_1)$  with  $f_1 = h(\phi)$  and  $\mathbf{C}_0, \mathbf{C}_1$  fixed  $\Rightarrow$   $\mathbf{A}_1[h(\phi)]$ 

#### Homogenized energy

$$f_{\varepsilon}(\phi, \mathbf{\epsilon}) = \frac{1}{2} (\mathbf{\epsilon} - \mathbf{\eta}) : \mathbf{C} : (\mathbf{\epsilon} - \mathbf{\eta})$$

with

$$\mathbf{C} = \overline{h}\mathbf{C}_0 : \mathbf{A}_0 + h\mathbf{C}_1 : \mathbf{A}_1$$

and

$$\mathbf{C}:\mathbf{\eta}=\overline{h}\mathbf{A}_0^{\mathsf{T}}:\mathbf{C}_0:\mathbf{\eta}_0+h\mathbf{A}_1^{\mathsf{T}}:\mathbf{C}_1:\mathbf{\eta}_1$$

## Notes on practical implementation

- Constitutive laws require first derivatives of  $f_{\varepsilon}$  w.r.t.  $\phi$  and  $\mathbf{\epsilon}$
- Newton iterations require higher order derivatives
- Derivatives w.r.t. volume fraction  $h(\phi)$  can be quite painful for some homogenization models (e.g. self-consistent)
- Tabulate values for  $0 \le h(\phi) \le 1$
- Use implicit function theorem?
- Use automatic differentiation?

23

## **Applications**

**Linear interpolation** 

$$\mathbf{C} = \overline{h}\mathbf{C}_0 + h\mathbf{C}_1$$
$$\mathbf{\eta} = \overline{h}\mathbf{\eta}_0 + h\mathbf{\eta}_1$$

**Voigt approximation** 

$$\mathbf{A}_0 = \mathbf{A}_1 = \mathbf{I} \quad \Rightarrow \quad \begin{cases} \mathbf{C} = \overline{h} \mathbf{C}_0 + h \mathbf{C}_1 \\ \mathbf{C} : \mathbf{\eta} = \overline{h} \mathbf{C}_0 : \mathbf{\eta}_0 + h \mathbf{C}_1 : \mathbf{\eta}_1 \end{cases}$$

**Reuss approximation** 

$$\mathbf{C}_0: \mathbf{A}_0 = \mathbf{C}_1: \mathbf{A}_1 = \mathbf{C} \quad \Rightarrow \quad \begin{cases} \mathbf{C}^{-1} = \overline{h} \mathbf{C}_0^{-1} + h \mathbf{C}_1^{-1} \\ \mathbf{\eta} = \overline{h} \mathbf{\eta}_0 + h \mathbf{\eta}_1 \end{cases}$$

## **Extensions**

Extension to diffusion? (homogenized mobility?)

Laminate theory

$$\mathbf{C}^{\text{eff}}(f_1, \mathbf{C}_0, \mathbf{C}_1, \mathbf{n})$$
 with  $f_1 = h(\phi)$  and  $\mathbf{n} = \frac{\nabla \phi}{\|\nabla \phi\|}$ 

 $\nabla I$ 

25

Material non-linearities

Geometric non-linearities

# Thank you for your attention!

sebastien.brisard@univ-eiffel.fr

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